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## SECTION 2: FORM PTO 1449 - MODIFIED



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Hardjono, T. P.                      Atty Dkt: 2204/A82

Serial No: ---                                      Examiner: ---

Date Filed: ---                                      Group No:

Invention: Spatial Key Trees for Key Management in Wireless Environments

Commissioner for Patents  
Washington, DC 20231

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANTS'  
INFORMATION DISCLOSURE STATEMENT

U.S. PATENT DOCUMENTS

| <u>Exam<br/>Init.</u> | <u>Ref.<br/>No.</u> | <u>Document<br/>Number</u> | <u>Date</u> | <u>Name</u>    | <u>Class</u> |
|-----------------------|---------------------|----------------------------|-------------|----------------|--------------|
| ---                   | AA                  | 6,049,878                  | 04/11/00    | Caronni, et al | 713/201      |
| ---                   | AB                  | 5,748,736                  | 05/05/98    | Mittra         | 380/21       |

OTHER PUBLICATIONS

| <u>Exam.<br/>Init.</u> | <u>Ref.<br/>No.</u> |   |
|------------------------|---------------------|---|
| ---                    | AC                  | Briscoe, Bob, "MARKS: Zero Side Effect Multicast Key Management using Arbitrarily Revealed Key Sequences", in <i>First International Workshop on Networked Group Communication</i> (Nov. 1999). |
| ---                    | AD                  | Hardjono, T., et al, "A Framework for Group Key Management for Multicast Security", <draft - ieff - ipsec - gkm framework - 03.txt>, August 2000.   |
| ---                    | AE                  | McGrew, David A., et al, "Key Establishment in Large Dynamic Groups Using One-Way Function Trees", TIS Report No. 0755, TIS Labs at Network Associates, Inc., Glenwood, Md (May 1998).          |

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|------------------------------|---------------------------|--|
| —                            | AF                        | Rodeh, Ohad, et al, "Using AVL Trees for Fault Tolerant Group Key Management", manuscript, October 2000.                             |
| —                            | AG                        | Wallner, D., et al, "Key Management for Multicast: Issues and Architectures", RFC2627, July 1997.                                    |
| —                            | AH                        | Wong, Chung Kei, et al, "Secure Group Communications Using Key Graphs", WGL98, in <i>Proceedings of SIGCOMM'98</i> , September 1998. |

Examiner: \_\_\_\_\_  
 Date Considered: \_\_\_\_\_

\*NOTE

FOR EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance AND not considered. Include copy of this form with next communication to applicant.